

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	O I P E FEB 13 2003 P A T E N T & T R A D E M A R K S U R V E Y	ATTY. DKT. NO. 5659-02200/TH1947 APPLICANT: Wellington et al. FILING DATE: April 24, 2001	SERIAL NO. 09/841,305 GROUP: 3673
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FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
O	J19	97/01017	Jan-1997	WO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

A	L12	Van Krevelen, COAL: Typology-Physics-Chemistry-Constitution, 1993, pp. 27, 42, 52, 322, 323, 324, 325, 326, 526, 527, 726.
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EXAMINER:

DATE CONSIDERED: 5/30/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTKT. NO. 5659-02200

Serial No. 09/841,305

APPLICANT: Wellington et al.

Art Unit: 3673

FILING DATE: April 24, 2001

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
✓	T01	1836876	12/30/1994	SU			Y

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	T02	Burnham, Alan, K. "Oil Shale Retorting Dependence of timing and composition on temperature and heating rate", January 27, 1995, (23 pages).
	T03	Burnham et al. "A Possible Mechanism of Alkene/Alkane Production in Oil Shale Retorting, (7 pages).
	T04	Campbell, et al., "Kinetics of oil generation from Colorado Oil Shale" IPC Business Press, Fuel, 1978, (3 pages).
	T05	Cummins et al. "Thermal Degradation of Green River Kerogen at 150° to 350 °C", Report of Investigations 7620, U.S. Government Printing Office, 1972, (pages 1-15).
	T06	Cook, et al. "The Composition of Green River Shale Oils", United Nations Symposium on the Development and Utilization of Oil Shale Resources, Tallinn, 1968, (pages 1-23).
.	T07	Hill et al., "The Characteristics of a Low Temperature in situ Shale Oil" American Institute of Mining, Metallurgical & Petroleum Engineers, 1967 (pages 75-90)..
✓	T08	Dinneen, et al. "Developments in Technology for Green River Oil Shale" United Nations Symposium on the Development and Utilization of Oil Shale Resources, Tallinn, 1968, (pages 1-20).
	T09	De Rouffignac, E. "In Situ Resistive Heating of Oil Shale for Oil Production-A Summary of the Swedish Data, (4 pages).
	T10	Dougan, et al. "The Potential for in situ Retorting of Oil Shale in the Piceance Creek Basin of Northwestern Colorado", Quarterly of the Colorado School of Mines (pages 57-72).
	T11	Hill et al. "Direct Production of Low Pour Point High Gravity Shale Oil" I&EC Product Research and Development, 1967, Volume 6, (pages 52-59).
	T12	Yen et al., "Oil Shale" Developments in Petroleum Science, 5, Elsevier Scientific Publishing Co., 1976 (pages 187-198).
	T13	SSAB report, "A Brief Description of the Ljungstrom Method for Shale Oil Production," 1950, (12 pages).
	T14	Salomonsson G., SSAB report, "The Lungstrom In Situ-Method for Shale Oil Recovery, 1950 (28 pages)
✓	T15	"Swedish shale oil-Production method in Sweden," Organisation for European Economic Co-operation, 1952, (70 pages).
✗	T16	SSAB report, "Kvarn Torp" 1958, (36 pages).
✗	T17	SSAB report, "Kvarn Torp" 1951 (35 pages).
✓	T18	SSAB report, "Summary study of the shale oil works at Narkes Kvarntorp" (15 pages).
✓	T19	Vogel et al. "An Analog Computer for Studying Heat Transfer during a Thermal Recovery Process," AIME Petroleum Transactions, 1955 (pages 205-212).
✗	T20	"SKIFEROLJA GENOM UPPVARMNING AV SKIFFERBERGET," Faxin Department och Namder, 1941, (3 pages)
✗	T21	"Aggregeringens orsaker och ransoneringen grunder", Av director E.F.Cederlund I Statens livesmedelskommission 1940
✓	T22	Ronnby, E. "KVARNTORP-Sveriges Storsta skifferoljeindustri," 1943, (9 pages)
✓	T23	SAAB report, "The Swedish Shale Oil Industry" 1948 (8 pages).
✓	T24	Gejrot et al., "The Shale Oil Industry in Sweden," Carlo Colombo Publishers-Rome, Proceedings of the Fourth World Petroleum Congress, 1955 (8 pages)

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Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTN. DOCKET NO. 5659-02200 SEP 08 2003 APPLICANT: Wellington et al.	SEARCH NO. 09/841,305 Art Unit: 3673
		FILING DATE: April 24, 2001	
<input checked="" type="checkbox"/>	T25	Hedbeck, T. J., "Swedish Shale as Raw Material for Production of Power, Oil and Gas," XIth Sectional Meeting World Power Conference, 1957 (9 pages)	
	T26	SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand", 1955 Vol. 1, (141 pages) English	
	T27	SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Figures", 1955 Vol. 2, (146 pages) English.	
	T28	"Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Memorandum re: tests", 1955 Vol. 3, (256 pages) English.	
	T29	Helander, R.E., "Santa Cruz, California, Field Test of Carbon Steel Burner Casings for the Lins Method of Oil Recovery", 1959 (38 pages) English.	
	T30	Helander et al., Santa Cruz, California, Field Test of Fluidized Bed Burners for the Lins Method of Oil Recovery" 1959, (86 pages) English.	
	T31	SSAB report, "Bradford Residual Oil, Athabasca Ft. McMurray" 1951, (207 pages), partial translation.	
<input checked="" type="checkbox"/>	T32	"Lins Burner Test Results-English" 1959-1960	
<input checked="" type="checkbox"/>	T33	SSAB "Annual Reports, SSAB Laboratory, Address Annually Issues-Shale and Ash, Oil, Gas, Waste Water, Analytical", 1953-1954, (166 pages). Swedish	
<input checked="" type="checkbox"/>	T34	SSAB report, "Financial Matter, Swedish taxes, etc.," 1960-1961 (37 pages). Swedish	
<input checked="" type="checkbox"/>	T35	SSAB report, "Cost For Mining," 1959-1979 (13 pages). Swedish	
<input checked="" type="checkbox"/>	T36	SSAB report, "Cost Comparison of Mining and Processing of Shale and Dolomite Using Various Production Alternatives", 1960, (64 pages). Swedish	
<input checked="" type="checkbox"/>	T37	SSAB report, "Assessment of Future Mining Alternatives of Shale and Dolomite," 1962, (59 pages) Swedish.	
<input checked="" type="checkbox"/>	T38	SSAB report, "Kartong 2 Shale: Ljungströmsanläggningen" (104 pages) Swedish.	
<input checked="" type="checkbox"/>	T39	SAAB, "Photos", (18 pages).	
<input checked="" type="checkbox"/>	T40	SAAB report, "Swedish Geological Survey Report, Plan to Delineate Oil Shale Deposits in Skånes Area (near Kvarntorp)," 1941 (13 pages). Swedish.	
<input checked="" type="checkbox"/>	T41	SAAB report, "Recovery Efficiency," 1941, (61 pages). Swedish.	
<input checked="" type="checkbox"/>	T42	SAAB report, "Geologic Work Conducted to Assess Possibility of Expanding Shale Mining Operation in Kvarntorp; Drilling Results, Seismic Results," 1942 (79 pages). Swedish.	
<input checked="" type="checkbox"/>	T43	SSAB report, "Ojematinigar vid Norrtorp," 1945 (141 pages).	
<input checked="" type="checkbox"/>	T44	SSAB report, "Inhopplingschema, Norrtorp II 20/3-17/8", 1945 (50 pages). Swedish.	
<input checked="" type="checkbox"/>	T45	SSAB report, "Secondary Recovery after LINS," 1945 (78 pages)	
<input checked="" type="checkbox"/>	T46	SSAB report, "Maps and Diagrams, Geology," 1947 (137 pages). Swedish.	
<input checked="" type="checkbox"/>	T47	SSAB report, "Styrehseprotoholl," 1943 (10 pages). Swedish.	
<input checked="" type="checkbox"/>	T48	SSAB report, "Early Shale Retorting Trials" 1951-1952, (134 pages). Swedish.	
<input checked="" type="checkbox"/>	T49	SSAB report, "Analysis of Ljunstrom Oil and its Use as Liquid Fuel," Thesis by E. Pals, 1949 (83 pages). Swedish.	
<input checked="" type="checkbox"/>	T50	SSAB report, "Environmental Sulphur and Effect on Vegetation," 1951 (50 pages). Swedish.	
<input checked="" type="checkbox"/>	T51	SSAB report, "Tar Sands", Vol.135 1953 (20 pages, pages 12-15 translated). Swedish.	
<input checked="" type="checkbox"/>	T52	SSAB report, "Assessment of Skånes Area (Southern Sweden) Shales as Fuel Source," 1954 (54 pages). Swedish.	

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*: NO TRANSLATION, NOT CONSIDERED

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0



Title of Invention	IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION WITH A SELECTED MOISTURE CONTENT						
Application Number:	09/841305 						
Confirmation Number:	4692						
First Named Applicant:	Scott Wellington						
Attorney Docket Number:	5659-02200						
Art Unit:	3673						
Examiner:	John J. Kreck						
Search string:	(3986556 or 4031956 or 4140180 or 4412585 or 4501326 or 4524827 or 4585066 or 4776638 or 4856587 or 5517593 or 5099918 or 5751895 or 6015015 or 6112808 or 3026940 or 3285335 or 3456721 or 2857002 or 3165154 or 4458757 or 4931171 or 4737267 or 4384948 or 3593790 or 3497000 or 3244231 or 3223166 or 3947656).pn.						
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US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	3986556	1976-10-19	Haynes				
2	4031956	1977-06-28	Terry				
3	4140180	1979-02-20	Bridges et al.				
4	4412585	1983-11-01	Bouck				
5	4501326	1985-02-26	Edmunds				
6	4524827	1985-06-25	Bridges et al.				
7	4585066	1986-04-29	Moore et al.				
8	4776638	1988-10-11	Hahn				
9	4856587	1989-08-15	Nielson				
10	5517593	1996-05-14	Nenniger et al.				
11	5099918	1992-03-31	Bridges et al.				

12	5751895	1998-05-12	Bridges
13	6015015	2000-01-18	Luft et al.
14	6112808	2000-09-05	Isted
15	3026940	1962-03-27	Spitz
16	3285335	1966-11-15	Reistle
17	3456721	1969-07-22	Smith
18	2857002	1958-10-21	Pevere et al.
19	3165154	1965-01-12	Santourian
20	4458757	1984-07-10	Bock et al.
21	4931171	1990-05-02	Piotter
22	4737267	1988-04-12	Pao et al.
23	4384948	1983-05-24	Barger
24	3593790	1971-07-20	Herce
25	3497000	1970-02-24	Hujšák et al.
26	3244231	1966-04-05	Grekel et al.
27	3223166	1965-12-14	Hunt et al
28	3947656	1976-03-30	Lodi

Remarks

Note: Remarks are not for responding to an office action.

Foreign Patents and other art will be submitted on Form PTO 1449.

Signature

Examiner Name	Date
	11/21/03